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PTO/SB/01 (12-97)

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**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

☒ Declaration  
Submitted  
With Initial  
Filing

OR

☐ Declaration  
Submitted after Initial  
Filing (surcharge  
(37 CFR 1.16 (e))  
required)

Attorney Docket Number

3439

First Named Inventor

Cawley et al.

**COMPLETE IF KNOWN**

Application Number

/

Filing Date

Herewith

Group Art Unit

TBD

Examiner Name

TBD

**As a below named inventor, I hereby declare that:**

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**METHOD AND COMPUTER SOFTWARE PRODUCT FOR PREDICTING  
POLYADENYLATION SITES**

the specification of which

(Title of the Invention)

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

Application Number

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY) Country	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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## DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365 of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the

Patent and Trademark Office connected therewith	<input type="checkbox"/> Customer Number <span style="border: 1px solid black; padding: 2px 20px;"></span> →	Place Customer Number Bar Code Label here
	OR <input checked="" type="checkbox"/> Registered practitioner(s) name/registration number listed below	

Name	Registration Number	Name	Registration Number
Vern Norviel	32,483	Philip L. McGarrigle	31,395
Wei Zhou	44,419	Alan B. Sherr	42,147
Joe Liebeschuetz	37,505		

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☒ Customer Number or Bar Code Label 22886 OR ☒ Correspondence address below

Name	Affymetrix, Inc.				
Address	General IP Counsel - Legal Department				
Address	3380 Central Expressway				
City	Santa Clara	State	CA	ZIP	95051
Country	USA	Telephone	408/731-5000	Fax	408/731-5392

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:  ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])				Family Name or Surname			
Simon				Cawley			
Inventor's Signature					Date	12/20/01	
Residence: City	Oakland	State	CA	Country	USA	Citizenship	USA
Post Office Address							
Post Office Address	5343 Broadway Terrace, #404						
City	Oakland	State	CA	ZIP	94618	Country	USA

☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

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**DECLARATION**
**ADDITIONAL INVENTOR(S)**  
**Supplemental Sheet**  
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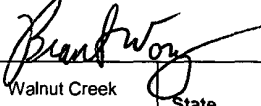
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])				Family Name or Surname			
Raymond				Wheeler			
Inventor's Signature						Date	12/20/07
Residence: City	Berkeley	State	CA	Country	USA	Citizenship	USA
Post Office Address							
Post Office Address	1947 Oregon St., #6						
City	Berkeley	State	CA	ZIP	94703	Country	USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])				Family Name or Surname			
David				Kulp			
Inventor's Signature						Date	20 DEC 01
Residence: City	Albany	State	CA	Country	USA	Citizenship	USA
Post Office Address							
Post Office Address	827 Jackson St.						
City	Albany	State	CA	Zip	94706	Country	USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])				Family Name or Surname			
Alan				Williams			
Inventor's Signature						Date	12-20-2001
Residence: City	Albany	State	CA	Country	USA	Citizenship	USA
Post Office Address							
Post Office Address	1026 Curtis St.						
City	Albany	State	CA	Zip	94706	Country	USA

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**DECLARATION****ADDITIONAL INVENTOR(S)**  
**Supplemental Sheet**  
Page 4 of 4

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])				Family Name or Surname			
Brant				Wong			
Inventor's Signature						Date	12/10/01
Residence: City	Walnut Creek	State	CA	Country	USA	Citizenship	USA
Post Office Address							
1453 Treat Blvd.							
City	Walnut Creek	State	CA	ZIP	94596	Country	USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])				Family Name or Surname			
Inventor's Signature						Date	
Residence: City		State		Country		Citizenship	
Post Office Address							
City		State		Zip		Country	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])				Family Name or Surname			
Inventor's Signature						Date	
Residence: City		State		Country		Citizenship	
Post Office Address							
City		State		Zip		Country	

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# Assignment of Rights, Title and Interest in Invention (Multiple Inventors; Single Assignee)

*This is an Assignment of the following rights, title and interest: (check all that apply):*

- ☒ *United States of America rights, title and interest in the invention*
- ☒ *Foreign Rights, title and interest in the invention*
- ☒ *United States Patent Application Serial No.* \_\_\_\_\_

*Date of Execution:* \_\_\_\_\_ *Date of Filing:* Herewith

- ☐ *United States Provisional Patent Application Serial No.* \_\_\_\_\_
- ☐ *United States Patent No(s).* \_\_\_\_\_
- ☐ *International (PCT) Patent Application Serial No.* \_\_\_\_\_
- ☐ *Other (specify)* \_\_\_\_\_

## Title of Invention

METHOD AND COMPUTER SOFTWARE PRODUCT FOR PREDICTING POLYADENYLATION SITES

## Inventors (assignors)

<i>Name</i>	<i>Address</i>
Simon Cawley	5343 Broadway Terrace, #404, Oakland, CA 94619
Ray Wheeler	1947 Oregon St., #6, Berkeley, CA 94703
David Kulp	827 Jackson St., Albany, CA 94706
Alan Williams	1026 Curtis St., Albany, Ca 94706
Brant Wong	1453 Treat Blvd., #315, Walnut Creek, CA 94596

## Assignee:

<i>Name</i>	<i>Address</i>
Affymetrix, Inc. (DE)	3380 Central Expressway, Santa Clara, CA 95051

# **Assignment of Rights, Title and Interest in Invention (Multiple Inventors; Single Assignee)**

*Whereas, we, the above-identified Inventors, have invented certain new and useful improvements in the Invention identified above and described in the above-identified patent application(s) and/or patent(s) (hereinafter referred to as "Invention");*

*And whereas we desire to assign our above-identified rights, title and interest in the Invention to the above-identified Assignee;*


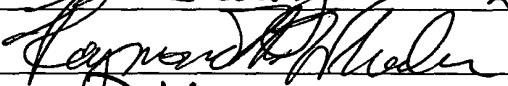
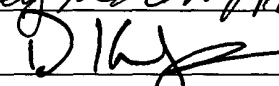
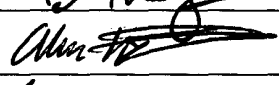
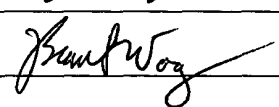
*Now, this indenture witnesseth, that for good faith and valuable consideration, the receipt whereof is hereby acknowledged;*

*We hereby assign, sell and transfer our above-identified rights, title and interest in said Invention, said application(s) as identified above, including any divisions, continuations, and continuation-in-part thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereof, and in and to any and all priority rights, Convention rights, and other benefits accruing or to accrue to us with respect to the filing of applications for patents or securing patents in the United States and countries foreign thereto, unto said Assignee;*

*And we hereby authorize and request the Commissioner of Patents and Trademarks to issue any United States Letters Patent which may issue for said Invention to said Assignee, as assignee of the whole right, title and interest thereto;*

*And we further agree to sign and execute all necessary and lawful future documents, including any applications for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent, and/or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid Invention, as the Assignee of its Designee(s) may from time to time require and prepare at its own expense.*

## ***Inventor's Signatures***

Name	Signature/ Date
Simon Cowley	 12/20/01
Raymond Wheeler	 12/20/01
DAVID KULP	 20 DEC 01
Alan Williams	 12-20-2001
Brant Wong	 12-20-2001